

Jarden Wealth Limited Fee Schedule

This document outlines in detail the specific charges for transactions, banking charges, and custodian transfer fees (in addition to any brokerage charges, custody/administration fees, and, if applicable, management fees).

Last updated: April 2024

Jarden Wealth Limited Fee Schedule

Transaction Charges by Market

	Type of Charge/Cost	Amount	How/when paid
New Zealand	Internal transfer charge	NZD 20.00	per transfer
	NZX/Clearing fees recovery	NZD 10.00	per trade
	FIN indemnity fees	NZD 50.00	
Australia	ASX agency on – custody up to A\$40,000	AUD 12.00	per order
	A\$40,000.01 and over	0.0300%	
	ASX CHESS settlement fee on custody	0.00225%	per order
	ASX agency charge on SRN, HIN and PID – up to A\$35,000	AUD 10.50	per order
	A\$35,000.01 and over	0.0300%	
	ASX holder enquiry (12A request)	AUD 25.00	per enquiry
	ASX rebooking charge	AUD 20.00	per trade amendment
	ASX SRN amendment/rejection charge	AUD 10.00	per trade amendment
Canada	CAD agency charge	0.1500%	per order
	CAD settlement transaction fee	USD 14.50	per order

Jarden Wealth Limited Fee Schedule

Transaction Charges by Market

	Type of Charge/Cost	Amount	How/when paid
Europe	EUR agency charge	0.08%	per order
	Euroclear settlement transaction fee	USD 29.00	per order
	Greece settlement transaction fee	USD 29.00	per order
	Finland settlement transaction fee	USD 29.00	per order
	Austria settlement transaction fee	USD 35.00	per order
	Belgium settlement transaction fee	USD 17.50	per order
	German settlement transaction fee	USD 17.50	per order
	Spanish settlement transaction fee	USD 20.00	per order
	Spanish financial transaction tax	0.20%	per order
	French settlement transaction fee	USD 17.50	per order
	French financial transaction tax	0.30%	per order
	Italian settlement transaction fee	USD 29.00	per order
	Italian financial transaction tax	0.10%	per order
	Netherlands settlement transaction fee	USD 35.00	per order
	Norway settlement transaction fee	USD 65.00	per order
Swiss settlement transaction fee	USD 17.50	per order	

Jarden Wealth Limited Fee Schedule

Transaction Charges by Market

	Type of Charge/Cost	Amount	How/when paid
Hong Kong	HKD agency charge	0.1500%	per order
	HKD stamp duty	0.10%	per order
	HKD trading fee	0.00565%	per order
	HKD SFC levy	0.0027%	per order
	HKD FRC levy	0.00015%	per order
	HKD settlement transaction fee	USD 25.00	per order
Japan	JPY agency charge	0.1500%	per order
	JPY settlement transaction fee	USD 20.00	per order
Singapore	SGD agency charge	0.1500%	per order
	SGD settlement transaction fee	USD 25.00	per order
	SGD trading fee	0.0075%	
	SGD clearing fee	0.0325%	max SGD 600.00

Jarden Wealth Limited Fee Schedule

Transaction Charges by Market

	Type of Charge/Cost	Amount	How/when paid
United Kingdom & Ireland	UK agency charge	0.08%	per order
	UK stamp duty	0.5000%	per buy order
	UK PTM levy (on trades over GBP 10K)	GBP 1.00	per order
	UK settlement transaction fee	USD 12.00	per order
	UK off-market transfer in/out - certified	NZD 35.00 approx	per certificate issues
	UK off-market transfer in/out - electronic	NZD 15.00 approx	per security transferred
	Ireland agency charge	0.08%	per order
	Ireland reserve tax charge on Irish stock	1.00%	per order
	Ireland PTM levy (on trades over EUR 12,500)	EUR 1.25	per order
	Ireland settlement transaction fee	USD 14.50	per order
USA	US agency charge	0.08%	per order
	SEC market fee	0.0008%	per sell order
	USD settlement transaction fee	USD 12.00	per order
	US off-market transfer in/out - certified	NZD 35.00 approx	per certificate issues
	US off-market transfer in/out - electronic	NZD 15.00 approx	per security transferred

Jarden Wealth Limited Fee Schedule

Other Charges

Paper handling fee (i.e.. Clearing a certificate for sale) – NZD 150.00 (per sell order)

Foreign exchange – Jarden may take a margin on the exchange rate (and the exchange rate disclosed will be marked-up or marked-down from the exchange rate at which Jarden contracts with the relevant bank.

Term deposits – Term deposits incur a minimum charge of NZD 40.00 per Term Deposit

Restricted stock transfer (generally US stocks) – NZD 150.00 per transfer

Additional charges may apply at times, any third-party charges incurred will be passed onto the client account.

Jarden Wealth Limited Fee Schedule

Banking Charges by Market (ANZ)

	Type of Charge/Cost	Amount
New Zealand	Same day cleared payment (SCP)	NZD 5.00
	Direct credit fee (payment to a local account)	No charge
	Direct debit fee	No charge
Australia	BPAY	No charge
	Direct credit fee (payment to a local account)	No charge
Telegraphic Transfer (TT) fee*	Australia	AUD 28.00
	Canada	CAD 25.00
	Europe	EUR 18.00
	United Kingdom	GBP 15.00
	Hong Kong	HKD 150.00
	Japan	JPY 2,200.00
	New Zealand	NZD 29.00
	Singapore	SGD 26.00
	United States of America	USD 20.00
	International TT fee (for any currency not listed above)	NZD 29.00

Jarden Wealth Limited Fee Schedule

Other Banking Charges

Recall of funds (stop payment charge) - NZD 25.00

Trace - customer service investigation (\$15 minimum) - NZD 60.00 per hour

These charges are subject to change without notice

Jarden Wealth Limited Fee Schedule

Custodian Transfer Fees

NZ Transfers	Type	Transfer In	Transfer Out
	Managed funds	no charge	no charge
	Debentures	no charge	no charge
	NZX electronic	no charge	no charge

Australian Transfers	Type (estimated timeframe 20 business days)	Transfer In	Transfer Out
	Own name equity	waived*	AUD 20.00
	Own name fixed interest	waived*	AUD 20.00
	Broker / Custodian equity	waived*	AUD 6.20
	Broker / Custodian fixed interest	waived*	AUD 19.00

**All AU transfers into FNZ custody that are sold or transferred from FNZ custody within three months will incur initial waived FNZ charges.*

International Transfers	Market	Market Transaction Fee	Book Transfer Fee
	Belgium	USD 12.50	USD 5.00
	Canada	USD 12.50	USD 2.00
	France	USD 12.50	USD 5.00
	Germany	USD 12.50	USD 5.00
	Hong Kong	USD 20.00	USD 5.00

Jarden Wealth Limited Fee Schedule

Custodian Transfer Fees

	Market	Market Transaction Fee	Book Transfer Fee
International Transfers	Ireland	USD 12.50	USD 2.00
	Japan	USD 15.00	USD 5.00
	Malaysia	USD 30.00	USD 12.50
	Netherlands	USD 30.00	USD 5.00
	Norway	USD 60.00	USD 5.00
	Singapore	USD 20.00	USD 5.00
	South Africa	USD 20.00	USD 5.00
	Spain	USD 20.00	USD 5.00
	Sweden	USD 12.50	USD 5.00
	Switzerland	USD 12.50	USD 5.00
	Thailand	USD 30.00	USD 7.50
	United Kingdom	USD 12.50	USD 2.00
	United States	USD 12.50	USD 2.00
	Euro Clear Fixed Income	USD 15.00	USD 2.00
	Euro Clear Equity	USD 30.00	USD 5.00

Physical withdrawals and free-format messages incur an additional USD 15.00 fee on top of market transaction fee

Jarden Wealth Limited Fee Schedule

Other Charges (via Custodian)

- Stamp duty (international) - % of total value, on-forwarded upon notification
- Failed direct debit charges – bank charge is NZD 15.00 + (OCR + 11%pa) for deposit amount dishonoured.
- Charge is accrued daily and debited from the client's account.
- SRN search for Australian securities – per SRN search
- Re-registration fees, scrip fees
- Crest fines / fines from any other depository
- Depository charges
- Fiscalisation levies
- Notarization and consularisation fees
- Stock certification splits
- Turnover taxes
- Transportation, courier and postage charges
- Any other out-of-pocket expenses that are incurred

Additional Information

Australian charges and international charges noted in AUD and USD will be applied in NZD at the applicable exchange rate at the time of debit

All fees are per transfer; all transfer fees are subject to change.

Other third-party charges will be on forwarded by FNZ (custodian) for all above listed items without specified charge value.